IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Simon Nicholas Richmond

Serial No.: 10/789,488

Group No.: 2821 Filed: Minh, A. 02/26/2004 Examiner:

Entitled: A Solar Powered Light Assembly To Product Light Of Varying

Colours

INFORMATION DISCLOSURE STATEMENT

MS Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being facsimile transmitted or is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: November 22, 2006

Cliff Cannon-Cin

Dear Sir or Madam:

The citation listed below, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. § 1.56 and § 1.97. The Examiner is requested to make this citation of official record in this application.

Applicant has become aware of the following patent, which may be material to the examination of this application:

• US Patent No. 7,064,498 to Dowling et al., "Light-emitting diode based products," (2006).

This Information Disclosure Statement under 37 C.F.R. § 1.56 and § 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Applicant believes that the instant Information Disclosure Statement (IDS) should be considered by the Office because it is being filed on or before payment of the issue fee, is accompanied by a Statement specified in 37 § C.F.R. 1.97(e) and a check to cover the \$180.00 fee set forth in 37 C.F.R. § 1.17(p).

Dated: <u>November 22, 2006</u>

Christine A. Lekutis Registration No. 51,934

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 415.904.6500

Sheet 1

PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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| Complete if Known | | | | |
|------------------------|-------------------------|--|--|--|
| Application Number | 10/789,488 | | | |
| Filing Date | 02/26/2004 | | | |
| First Named Inventor | Simon Nicholas Richmond | | | |
| Art Unit | 2821 | | | |
| Examiner Name | A, Minh D | | | |
| Attorney Docket Number | SPRUSON-08795 | | | |

| U. S. PATENT DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| \longrightarrow | | Number-Kind Code ^{2 (if known)} | | | - I iguies Appeal |
| | 1 | ^{US-} 7,064,498 B2 | 06/20/2006 | Dowling et al. | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 C.F.R. § 1.97(e)2

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Dated: November 22, 2006

Cliff Cannon-Cin

Dear Sir or Madam:

No item of information contained in the Information Disclosure Statement (IDS) submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the instant IDS was known to any individual designated in C.F.R. § 1.56(c) more than three months prior to the filing of the instant IDS.

Dated: November 22, 2006

Christine A. Lekutis Registration No. 51,934

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